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# Zinc for the treatment of diarrhoea: effect on diarrhoea morbidity, mortality and incidence of future episodes

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| Background | Zinc supplementation for the treatment of diarrhoea has been<br>shown to decrease the duration and severity of the diarrhoeal epi-<br>sode, diarrhoea hospitalization rates and, in some studies, all-cause<br>mortality. Using multiple outcome measures, we sought to estimate<br>the effect of zinc for the treatment of diarrhoea on diarrhoea mor-<br>tality and subsequent pneumonia mortality.                   |
|------------|---|
| Methods    | We conducted a systematic review of efficacy and effectiveness<br>studies. We used a standardized abstraction and grading format<br>and performed meta-analyses for all outcomes with $\ge 2$ data<br>points. The estimated effect on diarrhoea mortality was determined<br>by applying the standard Child Health Epidemiology Reference<br>Group rules for multiple outcomes.  |
| Results    | We identified 13 studies for abstraction. Zinc supplementation decreased the proportion of diarrhoeal episodes which lasted beyond 7 days, risk of hospitalization, all-cause mortality and diarrhoea mortality. Using diarrhoea hospitalizations as the closest and most conservative possible proxy for diarrhoea mortality, zinc for the treatment of diarrhoea is estimated to decrease diarrhoea mortality by 23%. |
| Conclusion | Zinc is an effective therapy for diarrhoea and will decrease diar-<br>rhoea morbidity and mortality when introduced and scaled-up in<br>low-income countries.   |
| Keywords   | Diarrhoea, mortality, treatment, zinc   |

# Background

Zinc for the treatment of diarrhoea has been recommended by the World Health Organization (WHO) and United Nations Children's Fund (UNICEF) since 2004,<sup>1</sup> yet access to this essential treatment remains limited. When given for 10–14 days during and following the diarrhoeal episode, zinc has been shown to decrease the duration and severity of the episode,<sup>2</sup> as well as decrease the incidence of diarrhoea and pneumonia episodes in the subsequent 2–3 months.<sup>3</sup> Early studies that found a reduction in the duration and severity of the episode were conducted in diarrhoea treatment clinics and inpatient settings.<sup>4</sup> In later large-scale studies, investigators randomized entire communities to include zinc in addition to oral rehydration solution (ORS) or ORS alone<sup>5,6</sup> and observed similar effects on diarrhoea duration, pneumonia incidence as well as reductions in hospitalizations and mortality that smaller individually randomized controlled trials (RCTs) had not been designed to detect. Very few studies have been designed or powered to detect differences in all-cause mortality or diarrhoeaspecific mortality. Although cause-specific mortality data are the ideal when estimating the possible effect of an intervention on saving lives, for diarrhoea, there are additional outcomes which can serve as adequate proxies, such as hospitalization and prolonged diarrhoea.<sup>7,8</sup> Diarrhoea treated promptly in the home to prevent and treat dehydration rarely becomes lethal; therefore, by including outcomes such as diarrhoea hospitalizations and episodes lasting beyond 7 days, we are able to focus on the episodes which are more severe and thus far more likely to result in death.

This systematic review of the effect of zinc for diarrhoea treatment has been designed to meet the needs of the Lives Saved Tool (LiST) and has therefore been designed differently than the previously published traditional systematic reviews.<sup>9–11</sup> In LiST, increases in coverage of an intervention result in a reduction of one or more causes of mortality. Therefore, the systematic review and methods presented here, as well as the GRADE process as outlined in this journal supplement,<sup>12</sup> were designed to develop estimates of the effect of an intervention in reducing death due to diarrhoea.

Together with low-osmolarity ORS and continued feeding, zinc promises to reduce diarrhoea morbidity and mortality and have additional benefits on pneumonia morbidity and mortality in the 2–3 months following treatment.<sup>13,14</sup> Although there have been numerous systematic reviews and meta-analyses summarizing the effect of zinc supplementation,<sup>2,3,15,16</sup> none have considered the effect of zinc when given as diarrhoea therapy on diarrhoea mortality. Here we present the evidence supporting this claim as well as the evidence suggesting a reduction in subsequent diarrhoea episodes.

# Methods

We systematically reviewed all published literature from 1990 to 2009 to identify studies of zinc supplementation for the treatment of acute and persistent diarrhoea amongst children younger than 5 years of age. As per the Child Health Epidemiology Reference Group (CHERG) systematic review guidelines,<sup>12</sup> we searched PubMed, Cochrane Libraries and all WHO regional databases and included publications in every language available in these databases. The initial searches were conducted on 31 January 2009 and updated on 15 October 2009. We used the Medical Subject Heading Terms (MeSH) and keywords-search strategies using various combinations of: zinc, treatment, and diarrhoea. Every effort was made to gather unpublished data when reports were available for full abstraction. Studies were included if data from one of the following outcomes was provided: all-cause

mortality, diarrhoea mortality, diarrhoea hospitalizations, pneumonia hospitalizations, prolonged diarrhoea (episode lasting >7 days), diarrhoea and pneumonia incidence in the period up to 3 months following treatment. All outcome measures to be included were determined a priori.

### Inclusion/exclusion criteria

We limited the search to RCT studies conducted in low- and middle-income countries (LMICs) where zinc was given as a diarrhoea treatment for  $\geq$ 7 days to infants and children between 1 and 59 months of age.<sup>17</sup> Studies were included if zinc was given alone or in combination with vitamins. Studies that provided iron were excluded because iron is known to interfere with zinc absorption and iron-containing formulations are not recommended for the treatment of diarrhea.<sup>18</sup> All included studies contained a placebo or a suitable control group that was identical to the experimental group, except that it did not contain zinc. Studies conducted 'solely' in special populations (i.e. only cholera patients, etc.) were excluded. The zinc dose in included studies was between 10 and 40 mg/day which is in line with the WHO 2004 recommendation of 20 mg/day for 6-59 months and 10 mg/day for 1–5 months.<sup>1</sup> Acceptable formulations included syrups and tablets. Studies of zinc-fortified ORS were excluded because the zinc dose does not meet WHO guidelines for daily dose or minimal treatment days.

### Abstraction, analyses and summary measures

All studies which met final inclusion and exclusion criteria were double-data abstracted into a standardized form for each outcome of interest.<sup>12</sup> We abstracted key variables with regard to the study identifiers and context, study design and limitations, intervention specifics and outcome effects. Each study was assessed and graded according to the CHERG adaptation of the GRADE technique.<sup>19</sup> Studies received an initial score of high if they were RCTs or cluster-RCTs (cRCTs). The grade was decreased one grade for each study-design limitation. In addition, studies reporting an intent-to-treat analysis or with statistically significant strong levels of association (>80% reduction) received 0.5–1.0 grade increase. Any study with a final grade of very low was excluded on the basis of inadequate study quality.

For any outcome with more than one study we conducted a meta-analysis and reported the Mantel–Haenszel pooled relative risk and corresponding 95% confidence interval (CI) or the DerSimonian–Laird pooled relative risk and corresponding 95% CI where there was unexplained heterogeneity such as major differences in study design.<sup>12</sup> All analyses were conducted using STATA 9.0 statistical software.<sup>20</sup>

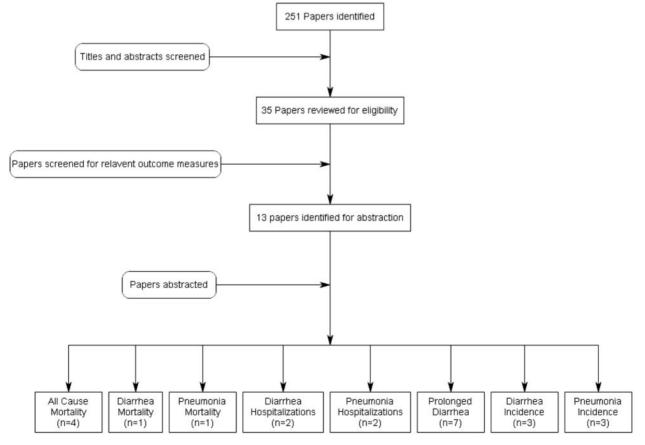
We summarized the evidence based on outcome by including assessment of the study quality and quantitative measures according to standard guidelines<sup>12</sup> for each outcome. For the outcome of interest, namely the effect of zinc for the treatment of diarrhoea on the reduction of diarrhoea mortality, we applied the CHERG Rules for Evidence Review<sup>12</sup> to the collective diarrhoea morbidity and mortality outcomes to generate a final estimate for reduction in diarrhoea mortality and pneumonia mortality.

# Results

We identified 251 titles from searches conducted in all databases (Figure 1). After initial screening of titles and abstracts we reviewed 35 papers for the identified outcome measures of interest and included 13 papers in the final database. To estimate the effect of zinc for diarrhoea treatment on diarrhoea mortality, we found four studies which reported data on all-cause mortality,<sup>4,5,21,22</sup> one study which reported diarrhoea-specific mortality rates,<sup>5</sup> two studies which reported diarrhoea hospitalization rates<sup>5,6</sup> and seven studies which reported data on prolonged diarrhoea ( $\geq 7$  days)<sup>23–29</sup>

(Supplementary Table 1). To estimate the effect of zinc for diarrhoea treatment on diarrhoea incidence. we found three studies which reported data on diarrhoea incidence.<sup>5,6,21</sup> To estimate the effect of zinc for diarrhoea treatment on pneumonia morbidity and mortality in the months following treatment, we found one study which reported data on pneumonia mortality,<sup>5</sup> two studies which reported data on pneu-monia hospitalizations<sup>5,6</sup> and three studies which reported data on pneumonia point prevalence.<sup>5,6,21</sup> All abstracted studies were either blinded, randomized controlled treatment trials or cluster-randomized intervention trials. There were very few limitations based on study design and execution; one study included infants 1-5 months of age,<sup>21,25</sup> one study had <6 clusters per study arm<sup>6</sup> and several studies included daily zinc doses less than the WHO recommendation for children  $\geq 6$  months.<sup>23,24,28,29</sup>

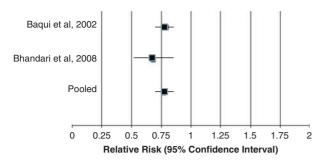
In Table 1 we report the quality assessment of studies by outcome, as well as results from corresponding meta-analyses. Of the four outcomes related to diarrhoea mortality, the effect size ranged from 23% (Figure 2) for diarrhoea hospitalizations to 66% for diarrhoea mortality.<sup>5</sup> We applied the CHERG Rules for Evidence Review to these four outcomes.



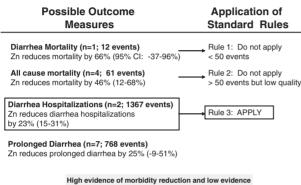
**Figure 1** Synthesis of study identification in review of the effects of zinc for the treatment of diarrhoea on all-cause mortality, diarrhoea mortality, diarrhoea hospitalization and prolonged diarrhoea. (Final number of papers reported by outcome; thus one paper may be counted for more than one outcome).

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|  | Quality assessment                      | sessment  |   |  |  | Summary of findings | findings |                              |
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| I  |   |   |   | Directness   |  | Number of<br>events |          |                              |
| Number of<br>studies <sup>(ref.)</sup> I   | Design                                  | Limitations   | Consistency   | Generalizability to<br>population of<br>interest     | Generalizability to<br>intervention of<br>interest             | Intervention        | Control  | RR (95% CI)                  |
| Mortality (diarrho   | ea deaths):                             | moderate/low outco  | Mortality (diarrhoea deaths): moderate/low outcome-specific quality   |  |  |                     |          |                              |
| 1 <sup>5</sup> c   | cRCT                                    | None  | Not statistically significant (-0.5)  | Only 1 study (–0.5)                                  | Only 1 study $(-0.5)$ Cannot separate zinc<br>and ORS $(-0.5)$ | ŝ                   | 6        | 66% (-37, 96%) <sup>a</sup>  |
| Mortality (all caus  | e): low ou                              | Mortality (all cause): low outcome-specific quality   | lity  |  |  |                     |          |                              |
| 4 <sup>4,5,15,16</sup> F   | RCT                                     | None  | Consistent and all 4 studies<br>showing benefit   | Mostly Asia (–0.5)                                   | Cannot separate zinc<br>and ORS (-0.5)                         | 21                  | 49       | 46% (12, 68%) <sup>b</sup>   |
| Diarrhea hospitali;  | zations: Mo                             | Diarrhea hospitalizations: Moderate outcome-specific quality  | scific quality  |  |  |                     |          |                              |
| 2 <sup>5,6</sup> C   | cRCT                                    | None  | Consistent and both studies showing benefit   | All Asia (–0.5)                                      | Cannot separate zinc<br>and ORS (-0.5)                         | 583                 | 784      | 23% (15, 31%) <sup>b</sup>   |
| Diarrhoea duration   | 1 (>7 days)                             | : moderate/low out  | Diarrhoea duration (>7 days): moderate/low outcome specific quality   |  |  |                     |          |                              |
| 7 <sup>23–29</sup> F   | RCT                                     | 4 of 7 included<br>dose $<$ WHO<br>recommendation<br>(-0.5)   | Heterogeneity from meta-<br>analysis; 5 of 7 studies<br>show benefit (-0.5)                                   | 2 studies had spe-<br>cialized populations<br>(–0.5) |  | 346                 | 422      | 25% (-9, 49%) <sup>c</sup>   |
| Diarrhoea prevalen   | ice (I–2 wei                            | eks): moderate outc   | Diarrhoea prevalence (1–2 weeks): moderate outcome specific quality   |  |  |                     |          |                              |
| 3 <sup>5,6,15</sup> C  | cRCT/RCT                                | None  | Heterogeneity from meta-<br>analysis; 2 of 3 studies<br>show benefit (-0.5)                                   | Mostly Asia (-0.5)                                   |  | 5261                | 6899     | 19% (-4, 47%) <sup>c</sup>   |
| Mortality (pneumc  | mia deaths)                             | Mortality (pneumonia deaths): moderate outcome specific quality   | te specific quality   |  |  |                     |          |                              |
| 1 <sup>5</sup> C   | cRCT                                    | None  | Not statistically significant (-0.5)  | Only 1 study (–0.5)                                  |  | 7                   | 10       | 28% (-109, 77%) <sup>a</sup> |
| Pneumonia hospita  | alizations: r                           | noderate/low outco  | Pneumonia hospitalizations: moderate/low outcome specific quality   |  |  |                     |          |                              |
| 2 <sup>5,6</sup> c   | cRCT                                    | None  | Heterogeneity from meta-<br>analysis; Both studies show<br>benefit; not statistically<br>significant (-1.0)   | Mostly Asia (–0.5)                                   |  | 428                 | 830      | 50% (-39, 82%) <sup>c</sup>  |
| ALRI prevalence: 1   | moderate/l(                             | ALRI prevalence: moderate/low outcome specific quality  | c quality   |  |  |                     |          |                              |
| 3 <sup>5,6,15</sup> C  | cRCT/RCT                                | None  | Heterogeneity from meta-<br>analysis; 2 of 3 studies show<br>benefit; not statistically<br>significant (-1.0) | Mostly Asia (–0.5)                                   |  | 1786                | 2155     | 23% (–25, 53%) <sup>c</sup>  |
| RCT, randomized controlled trial; RR,<br><sup>a</sup> Directly calculated from study results.<br><sup>b</sup> MH pooled RR.<br><sup>c</sup> D & L pooled RR random effect meta | controlled<br>ed from stu<br>? random e | RCT, randomized controlled trial; RR, relative risk.<br><sup>a</sup> Directly calculated from study results.<br><sup>b</sup> MH pooled RR.<br><sup>c</sup> D & L pooled RR random effect meta-analysis. | sk.   |  |  |                     |          |                              |



**Figure 2** Forest plot for the effect of zinc for the treatment of diarrhoea on diarrhoea hospitalizations.



for mortality reduction: Highly plausible

**Figure 3** Application of standardized rules for choice of final outcome to estimate effect of zinc on the reduction of diarrhoea mortality.

Because diarrhoea mortality data were limited (fewer than 50 deaths) we used a severe morbidity outcome to estimate the effect on mortality. The two large cRCTs reported a 23% difference in hospitalization rates for >300 hospitalizations (Figure 3).

There are two large-scale studies among children of all ages and one smaller study among infants 1–5 months of age which demonstrated that zinc given as a treatment for diarrhoea may decrease diarrhoea prevalence by 19% and the prevalence of severe acute lower respiratory infection (ALRI)/pneumonia episodes in the months following supplementation by 23%.<sup>5,6,21</sup> In addition, the two large-scale effectiveness studies found that the introduction of zinc led to a decrease of pneumonia hospitalizations by 50%. However, these estimates are not statistically significant and thus, at this point in time, it is not possible to conclude that there is an evidence of benefit.

# Conclusions

Diarrhoea remains the second leading cause of death among children under 5 in the developing world.<sup>30</sup> In our systematic review, 11 of the 13 studies we identified reported results suggesting a benefit of zinc on severe diarrhoea morbidity and mortality outcomes.

Applying the CHERG Rules for Evidence Review to reviewed studies and assessment of multiple morbidity and mortality outcomes, we estimate that zinc for the treatment of diarrhoea will reduce diarrhoea mortality by 23%. With >1000 hospitalizations, there is less uncertainty in the effect of zinc on this outcome as compared to the mortality outcomes. In addition, the effect size associated with diarrhoea hospitalizations (23% reduction) is more conservative than the observed reduction in all-cause or cause-specific mortality and prolonged diarrhoea as observed in similar studies.<sup>5,6</sup> Because all estimates are consistent, this increases confidence that 23% is a realistic estimate for a reduction in mortality that we would expect to observe when scaling up zinc for the treatment of diarrhoea.

Because this estimate was not derived solely from mortality data, it has some limitations. The two large cRCTs,<sup>5,6</sup> which contributed the hospitalization data were effectiveness studies; therefore, coverage was not 100%. Although coverage did reach relatively high levels (>80% in Bangladesh within 7 months), there were no adjustments made to the results to account for the <100% coverage rates which would make the effect estimates conservative. However, it could also be argued that these were still in fact studies and thus countries rolling out programmes in larger communities may not achieve the same effect because of poorer compliance, insufficient training of providers or other implementation health-care obstacles.

One additional limitation is the inability to completely separate the effect of zinc from the effect of ORS in the large-scale effectiveness trials. In these studies the introduction of zinc also increased ORS-use rates in the intervention communities. Although programmatically ideal, it is not possible to separate the effect of zinc from the effect of ORS on diarrhoea hospitalization and mortality. However, in the six studies we reviewed, zinc decreased prolonged diarrhoea by 33%. This estimate is generated from blinded trials where ORS use was constant in the zinc and control groups and thus the zinc effect more accurately represents the added benefit of zinc supplementation. For these reasons the 23% reduction would not appear to overestimate the effect of zinc on diarrhoea mortality.

In addition to the effect on the duration and severity of the treated episode, zinc has been shown to decrease diarrhoea prevalence in both 24-h and 2-week recall surveys. Although in our review we found a 19% reduction in diarrhoea prevalence following the treated episode, the large-scale studies from which the weight of this pooled estimate is derived did not conduct prevalence surveys solely among children receiving zinc vs those not receiving zinc. This effect would logically be greater than that measured simply in all children residing in communities where zinc was available. Thus, the 19% reduction could be a conservative estimate of the preventive benefits on diarrhoea prevalence. Some studies have found that when used as a diarrhoea treatment, zinc also has a preventive effect on future pneumonia morbidity and mortality but these effects are not statistically significant; therefore, definitive conclusions with regard to an effect size cannot be made at this time.

Zinc supplementation has been proven to decrease diarrhoea morbidity and mortality and is currently recommended as an adjunct treatment for all diarrhoea episodes.<sup>1</sup> The methods by which we derived a specific effect on diarrhoea mortality are novel, involve multiple outcomes and are inherently based on limitations in available data. Some will challenge the notion that providing an estimate for an effect on mortality based on anything but RCTs with mortality as an outcome should not be done. However, because of the strength of the evidence supporting zinc for diarrhoea treatment, RCTs are no longer ethical; thus the ideal data will likely not be available.

The methods and results we propose in this article are transparent and provide a conservative and comparable estimated effect size of zinc for the treatment of diarrhoea on diarrhoea mortality. Zinc for the treatment of diarrhoea is an important child-survival intervention and, in combination with ORS, is key for a reduction in overall child mortality.

# Supplementary data

Supplementary data are available at IJE online.

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**Conflict of interest:** None declared.

### **KEY MESSAGES**

- The evidence supporting zinc for the treatment of diarrhoea includes 12 high-quality randomized efficacy and effectiveness trials with demonstrated reductions in severe morbidity and mortality.
- Zinc for the treatment of diarrhoea reduces diarrhoea mortality by 23%.
- When given as diarrhoea treatment, zinc supplementation not only decreases the severity of the initial episode, but may prevent future diarrhoeal episodes in the 2–3 months following supplementation.

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